

Letters

In Situ Characterization of Aluminum-Containing Mineral–Microorganism Aqueous Suspensions Using Scanning Transmission X-ray Microscopy

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In situ characterization of colloidal particles under hydrous conditions is one of the key requirements for understanding their state of aggregation and impact on the transport of pollutants in aqueous environments. Scanning transmission X-ray microscopy (STXM) is one of the few techniques that can satisfy this need by providing element- and chemical-state-specific 2-D maps at a spatial resolution better than 50 nm using soft X-rays from synchrotron radiation wiggler or undulator sources tuned to the absorption edges of different elements. X-ray absorption near-edge structure (XANES) spectra can also be collected simultaneously at a similar spatial resolution and can provide phase identification in many cases. In this study, we report STXM images and XANES spectroscopy measurements at or above the Al K-edge ($E = 1559.6$ eV) of various Al-containing minerals and synthetic oxides [α - Al_2O_3 (corundum), γ - Al_2O_3 , γ - AlOOH (boehmite), α - $\text{Al}(\text{OH})_3$ (bayerite), $\text{KAl}_2(\text{AlSi}_3\text{O}_{10})(\text{OH})_2$ (muscovite), $(\text{Al,Mg})_8(\text{Si}_4\text{O}_{10})_4(\text{OH})_8 \cdot n\text{H}_2\text{O}$ (montmorillonite), and $\text{Mg}_6\text{Al}_2(\text{OH})_{16}\text{CO}_3 \cdot 4\text{H}_2\text{O}$ (hydrotalcite)] and demonstrate the capability of this spectro-microscopic tool to identify different Al-containing mineral colloids in multiphase mixtures in aqueous solution. We also demonstrate that STXM imaging at or above the C K-edge ($E = 284.2$ eV) and Al K-edge can provide unique information on the interactions between bacteria and Al-containing nanoparticles in aqueous suspensions. STXM images of a mixture of *Caulobacter crescentus* and montmorillonite and corundum particles just above the C and Al K-edges show that the mineral particles and bacteria are closely associated in aggregates, which is likely due to the binding of bacteria to clay and corundum particles by extracellular polysaccharides.

1. Introduction

Knowledge of the identity and stability of natural colloids under hydrous conditions is essential for understanding their ability to transport pollutants in natural waters.^{1–3} Due to their ubiquitous presence in Earth's

crust, aluminosilicate minerals such as clays are major components of natural inorganic colloids in many natural settings.⁴ However, to our knowledge, there have been no spectroscopic or microscopic characterization studies of

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Table 1. Names, Formulas, Al Coordination Numbers, and Major XANES Peak Positions of the Al-Containing Minerals Used in This Study

mineral name (source)	formula	Al coordination no.	Al K-edge XANES peak positions (eV)
corundum (Sumitomo Co. Ltd.)	$\alpha\text{-Al}_2\text{O}_3$	6	1567.5, 1571.5
gamma alumina (Sumitomo Co. Ltd.)	$\gamma\text{-Al}_2\text{O}_3$	4, 6	1565.4, 1567.5, 1570.5
boehmite (Condea Chemie GmbH)	$\gamma\text{-AlOOH}$	6	1567.8, 1570.2, 1571.8
bayerite (Condea Chemie GmbH)	$\alpha\text{-Al(OH)}_3$	6	1567.4, 1570.2
muscovite (Stanford Mineral Collection)	$\text{KAl}_2(\text{AlSi}_3\text{O}_{10})(\text{OH})_2$	4, 6	1565.3, 1567.7, 1570.4
hydrocalcite (Condea Chemie GmbH)	$\text{Mg}_6\text{Al}_2(\text{OH})_{16}\text{CO}_3\cdot 4\text{H}_2\text{O}$	6	1567.7, 1571.4
montmorillonite (Source Clays Repository)	$(\text{Al,Mg})_8(\text{Si}_4\text{O}_{10})_4(\text{OH})_8\cdot 12\text{H}_2\text{O}$	6	1567.7, 1570.8

Al-containing colloidal systems in the presence of bulk water mainly due to the lack of appropriate methods for probing submicron-sized Al-containing particles in hydrous environments.

Synchrotron-based scanning transmission X-ray microscopy (STXM) is one of the few methods capable of characterizing colloidal particles >30 nm in diameter in the presence of water and/or under atmospheric conditions.^{5–11} However, due to the limited energy ranges available on the seven other currently available STXM beamlines at synchrotron radiation sources worldwide [National Synchrotron Light Source (NSLS), BL X1A1 (250–500 eV) and X1A2 (250–1000 eV); Advanced Light Source (ALS), BL 5.3.2 (250–700 eV); Bessy II, Germany: ID12 (250–600 eV); European Synchrotron Radiation Facility (ESRF), BL ID 21 (2000–8000 eV); Advance Photon Source (APS): 2-ID-B (1–4 keV); Pohang Accelerator Laboratory (PAL, South Korea), BL 8A (250–1000 eV)] plus several others that are in varying stages of development, soft X-ray spectromicroscopy studies using STXM have generally been limited to the K-edges of light elements (e.g., C, N, and O)^{5–9} or the L-edges or K-edges of alkaline earth (e.g., Ca) and first-row transition metals (e.g., Cr, Mn, and Fe).^{10,11} Recently, a new STXM end station has been built and commissioned on the 11.0.2.2 branch line of an elliptically polarized undulator beamline (11.0.2) at the Advanced Light Source (ALS) at Lawrence Berkeley National Laboratory and has been optimized for applications to molecular environmental science, interface science, microbiology, and heterogeneous catalysis. It offers a wider energy range in the soft-X-ray/vacuum ultraviolet energy region ($E = 130\text{--}2100\text{ eV}$) than what is available at other existing STXM facilities in addition to excellent spatial (<50 nm) and energy resolution ($\sim 0.3\text{ eV}$) at the Al K-edge.¹² This new STXM facility enables the characterization of mineral particles 30 nm or greater in diameter using the K-edges of B, C, N, O, Na, Mg, Al, and Si and the L-edges of P, S, Cl, K, Ca, Sc, Ti, V, Cr, Mn, Fe, Co, Ni, Cu, Zn, Ga, Ge, As, Se, Br, Rb, and Sr under hydrous conditions. This list includes all major elements in Earth's crust as well as in biological organisms, with the exception of H. In this study, we have used this new STXM end station to investigate synthetic Al-

containing mineral colloid systems, including those with bacteria and water present, as a test of its capabilities. STXM images near the Al K-edge ($E = 1565.4\text{--}1571.8\text{ eV}$) and Al K-edge X-ray absorption near-edge structure (XANES) spectra have been measured for synthetic mineral colloid mixtures under hydrous conditions and analyzed using Al K-XANES spectra of selected reference minerals. In addition, bacteria–mineral suspensions in aqueous solution have been imaged just above the C K-edge ($E = 288.8\text{ eV}$) and Al K-edge ($E = 1571.6\text{ eV}$) in a study of bacteria–mineral colloid interactions.

2. Experimental Details

Table 1 summarizes the names, sources, formulas, Al coordination numbers, and major Al K-XANES peak positions of the Al-containing reference minerals and synthetic oxides utilized in this study. Dry reference samples were prepared for STXM analysis by dispersing the minerals in Milli-Q grade water ($\sim 1\text{ g/L}$) and then drying $\sim 1\ \mu\text{L}$ of each suspension on a 100 nm thick Si_3N_4 membrane (Silson Ltd.), which had been previously glued on the STXM sample holder. Aqueous suspensions of Al-containing mineral mixtures ($\sim 1\text{ g/L}$ for each mineral) were prepared in 0.01 M NaCl, with the pH of the solution being adjusted to 6.0 by adding 0.1 M NaOH and HCl. Approximately $1\ \mu\text{L}$ of each suspension was sandwiched between two 100 nm thick Si_3N_4 membranes, which were then sealed with epoxy resin to maintain a fully hydrated environment during STXM analysis. The STXM sample chamber is He-filled to minimize attenuation of the soft X-rays. To check the correspondence between the shapes of mineral particles and the shapes obtained from STXM images, high-resolution scanning electron microscopy (FEI, Sirion) images were taken of the dried corundum particles used in the present study.

For the mineral–bacteria mixtures, we utilized the aquatic bacterium *Caulobacter crescentus*, which is found in many natural environments and has been used as a model for microbial adhesion studies.¹³ The culture medium utilized was a sterile minimal medium [consisting of Na_2HPO_4 (130 mM), KH_2PO_4 (7.8 mM), NH_4Cl (9.3 mM), MgSO_4 (0.5 mM), CaCl_2 (0.5 mM), glucose (2 g/L), FeSO_4 (0.01 mM), and ethylenediaminetetraacetate (EDTA) (0.01 mM)]. Mineral powders were autoclaved separately at 121 °C for 20 min and added to the culture medium before inoculation with *C. crescentus* cells. Cells were grown for 48 h in the presence of corundum and montmorillonite particles at 30 °C. During this process, all samples were constantly shaken (200 rpm). Wet samples were mounted by sandwiching and sealing each mineral–bacteria–water mixture between two Si_3N_4 membranes.

STXM studies were performed at ALS branch line 11.0.2.2 with the synchrotron storage ring operating at an energy of 1.9 GeV and a stored current of 200–400 mA. Energy calibration was checked daily against the first major XANES peak in the corundum Al K-edge XANES spectrum, which was previously determined relative to the first inflection point in the K-edge XANES of aluminum metal ($E = 1559.0\text{ eV}$).¹⁴ The detector used in all measurements reported in this study was a photomultiplier tube with a phosphor scintillator. Image stacks or line scans of

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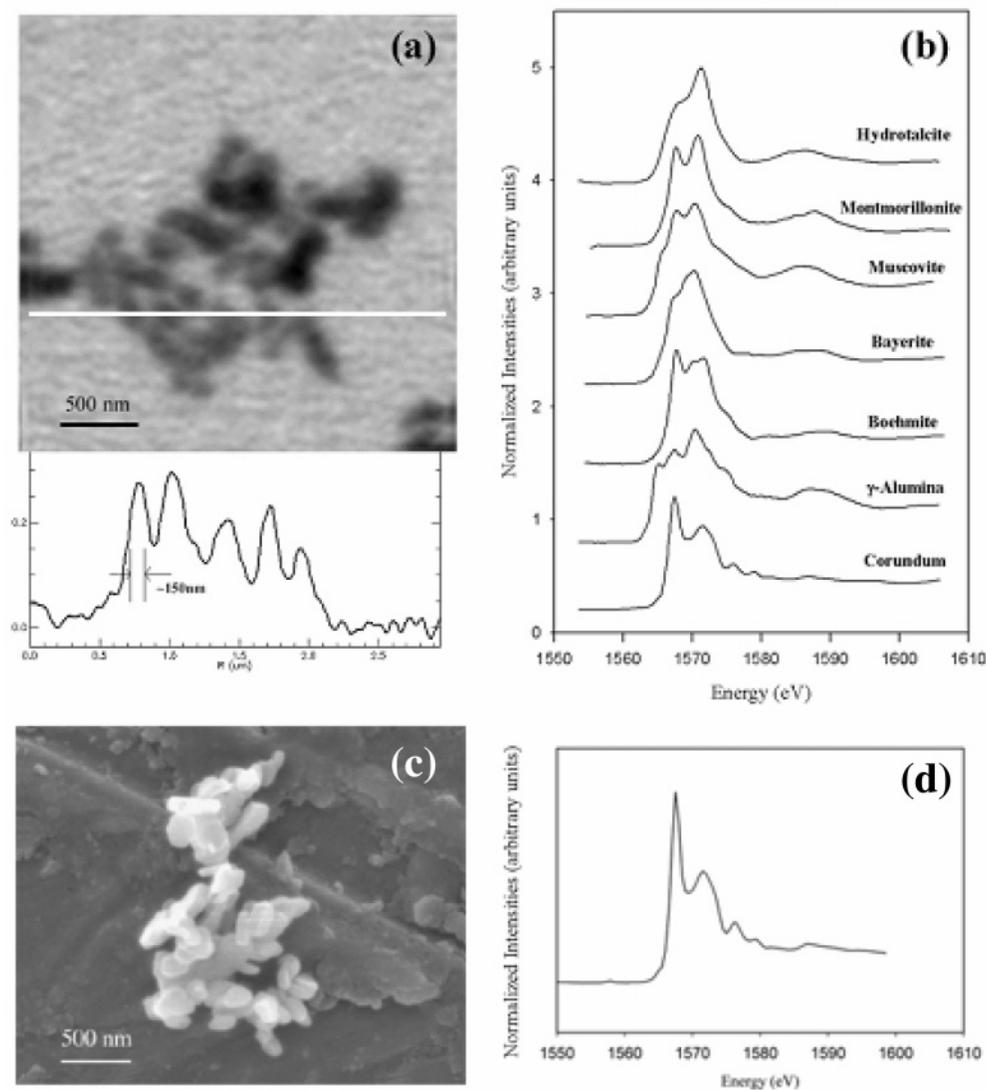


Figure 1. (a) STXM image and horizontal optical density cross section of corundum particles in aqueous solution taken at 1567.5 eV, (b) Al K-edge XANES spectra of seven Al-containing minerals, (c) high-resolution SEM image of dry corundum particles in a vacuum of 10^{-6} Torr, and (d) Al K-edge XANES spectrum of a dry corundum single crystal sample [(0001) orientation] measured using a conventional synchrotron beamline (SSRL BL 3-3) under ultrahigh vacuum conditions (10^{-9} Torr).¹⁴

reference Al-(oxy)hydroxide minerals and hydrous mineral mixtures were performed to collect Al K-edge XANES spectra; stacks of images or lines were taken by scanning in the x - y direction (image stack) or the x direction (line scan) of selected sample areas at each energy increment over the energy range of interest (1555–1605 eV); here, x refers to the horizontal direction, y , to the vertical direction, and the x - y plane, to the plane perpendicular to the X-ray beam direction. Normalization and background correction of the Al K-edge XANES spectra were performed by dividing each spectrum by a second spectrum from an Al-free location on the same sample. Possible sample changes caused by X-ray radiation were also monitored, but no significant changes in the Al K-edge XANES spectra were observed during the course of these measurements. The effect of hydration on Al K-edge XANES spectra was also monitored by comparing spectra from dried and hydrated minerals (e.g., corundum, boehmite, and montmorillonite), but no significant spectral differences were found between the dried and hydrated samples. However, significant particle movements were occasionally observed during long image stack measurements of wet samples, probably due to water advection caused by local heating of the aqueous solution. While minor movements of mineral particles can be easily corrected by realignment procedures, the time for image stack acquisition was optimized to minimize the influence of larger advection-induced particle movements on the XANES spectra.

AXis2000 software (ver2.1n)¹⁵ was used to align image stacks and extract XANES spectra from image stack or line scan measurements.

3. Results and Discussion

3.1. Al K-edge XANES Spectra of Reference Mineral Particles. Figure 1 presents an Al K-edge STXM image of corundum particles in water as well as Al K-edge XANES spectra of seven Al-containing model compounds and illustrates the spatial and energy resolutions that can be achieved at the Al K-edge with current zone plates. Figure 1a shows a STXM image ($E = 1567.5$ eV) of corundum particle clusters under hydrous conditions. The horizontal cross section showing optical density contrast below the STXM image clearly indicates that there are at least five particles along the cross-cutting line, each with a diameter of ~ 150 nm. As a test of the ability of STXM to provide accurate shapes of mineral particles in aqueous suspensions, we compare a high-resolution scanning electron microscopy (SEM) image of dry corundum particles (Figure 1c) with the STXM image of a different

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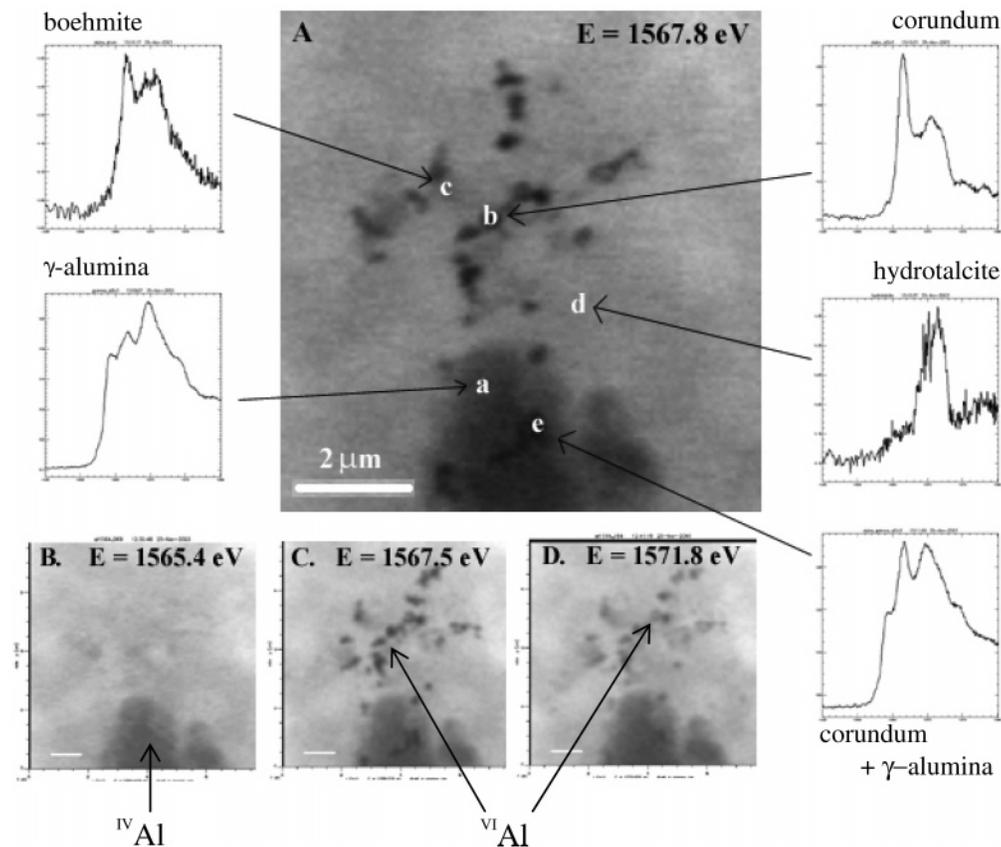


Figure 2. STXM image and Al K-edge XANES spectra of mineral particle mixtures under hydrous conditions measured at (A) $E = 1567.8$ eV, (B) $E = 1565.4$ eV (energy corresponds to the first peak of the γ - Al_2O_3 XANES spectrum), (C) $E = 1567.5$ eV (energy corresponds to the first peak of the corundum XANES spectrum), and (D) $E = 1571.8$ eV (energy corresponds to the third peak of the boehmite XANES spectrum). Each XANES spectrum was collected from the areas indicated by a, b, c, d, and e.

cluster of corundum particles in an aqueous suspension (Figure 1a). The SEM image shows that most corundum particles in our sample have ellipsoidal shapes or are hexagonal plates. A STXM image of a different cluster of corundum crystals in aqueous solution at a similar spatial scale (Figure 1a) shows ellipsoidal or rod-shaped particles. It is difficult to obtain additional details about particle morphology from the STXM image alone.

Al K-edge XANES spectra of a variety of Al-containing reference minerals, including the corundum particles shown in Figure 1a, are presented in Figure 1b. These spectra show well-resolved, distinct features and are in good agreement with spectra from previous Al K-edge XANES studies of similar mineral samples performed under ultrahigh vacuum (UHV) conditions using total electron yield measurements (see Figure 1d).^{14,16–21} The Al K-edge XANES spectrum of γ - Al_2O_3 , in which about one-third of the Al is 4-coordinated and two-thirds is 6-coordinated (Figure 1, Table 1), shows three major features centered at 1565.4, 1567.5, and 1570.5 eV. In contrast, the spectrum of α - Al_2O_3 , which contains only 6-coordinated Al, has two main features at 1567.5 and 1571.5 eV. The feature at 1565.4 eV in the spectrum of

γ - Al_2O_3 is indicative of 4-coordinated Al, whereas the features at 1567.5 and 1570.5 eV are indicative of 6-coordinated Al, as noted in past Al K-edge XANES studies of other Al-containing materials.^{14,16–21} The other Al-containing minerals examined here, with the exception of muscovite, contain only 6-coordinated Al and also show two major features at similar positions with average values of 1567.6 ± 0.2 and 1570.7 ± 0.7 eV, but with different peak shapes characteristic of each mineral phase. Muscovite, which contains both 4- and 6-coordinated Al displays an extra spectral feature at 1565.3 eV, similar to γ - Al_2O_3 . Additional features in the Al K-edge XANES spectra of these solids are due to multiple scattering caused by small differences in the medium-range ($<5\text{\AA}$) coordination environment of Al in each solid. Thus, Al K-edge XANES spectra can be used as a probe of Al coordination numbers in colloidal suspensions of mineral particles and can additionally serve as a “fingerprint” for different Al-containing phases. It is worth noting that most of the spectra shown in Figure 1b were collected for single particles in a substantially shorter period of time (<5 min) than comparable spectra collected on bending magnet synchrotron radiation sources using conventional detection methods such as total electron yield.

3.2. Al K-edge STXM Image Stack Analysis of Mixed Mineral Colloid Suspensions. STXM images collected for a mixed aqueous suspension consisting of four finely ground Al-containing minerals (γ - Al_2O_3 , corundum, boehmite, and hydrotalcite) at pH 6.0 are shown in Figure 2. STXM image stacks were collected over the Al K-edge energy range. Figure 2 compares STXM images collected at several different energies (A–D) and XANES

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spectra extracted from different positions (a–e) of these image stacks.

As can be seen from Figure 2B–D, STXM images collected at different energies can be used to distinguish different Al-containing mineral phases, especially aluminum minerals with different coordination numbers. The image collected at $E = 1565.4$ eV (corresponding to the first peak of the γ - Al_2O_3 XANES spectrum) (Figure 2B) shows only several γ - Al_2O_3 particles (2–3 μm in diameter). As the incident X-ray energy is increased to the positions of the corundum and boehmite Al K-edges (1567.5 and 1571.8 eV, as shown in Figure 2C and D, respectively), a large number of small particles (100–200 nm in diameter) become apparent in the mapped area. These small particles most likely consist of corundum, boehmite, and/or hydrotalcite. However, without XANES spectral processing and comparison (or deconvolution) with reference spectra, it is difficult to distinguish among particles of different minerals with the same Al coordination number from the images collected at different energies alone.

Further processing of image stacks and resulting XANES spectra was performed to distinguish the particles shown in Figure 2C and D. Figure 2A shows a STXM image of the same mineral colloid mixture taken at an incident X-ray energy of 1567.8 eV. Also shown in Figure 2 are Al K-edge XANES spectra corresponding to regions a–e. XANES spectra from region a showed spectral features that are the same as those of the γ - Al_2O_3 reference material (Figure 1), while the XANES spectra from regions b and c are very similar to those of the corundum and boehmite reference materials, respectively (Figure 1). Although we added the same concentrations of each reference mineral phase (1 g/L) to this colloidal suspension, hydrotalcite was rarely observed in this sample, except in the small area around d, as indicated by the peak maximum at 1571.4 eV. This is probably due to the relatively low aluminum content (~ 9 wt %) in this mineral phase and the very fine grain size and/or the dispersed nature of the hydrotalcite under the aqueous conditions utilized in our study. We also observe unusual XANES features in region e, which can be explained by a composite spectrum of α - Al_2O_3 and γ - Al_2O_3 , resulting from clusters of small α - Al_2O_3 particles (~ 150 nm) associated with a larger γ - Al_2O_3 particle in the sample volume probed by the X-ray beam.

3.3. Comparisons of C and Al K-edge Images from Mineral–Bacteria Suspensions. Figures 3 and 4 show STXM images obtained in situ from a prepared aqueous suspension of mineral particles (corundum and montmorillonite) and the bacterium *Caulobacter crescentus*, which is intended to mimic the complexity of natural biocolloids. As can be seen in Figure 3, although there are wide variations in the sizes of bacteria–mineral clusters, a close association of *C. crescentus* and mineral particles is common over a wide sample area. Among these *C. crescentus*–mineral clusters, we selected two representative clusters (Figure 3, areas a and b) and show their magnified images in Figure 4. Parts a and c of Figure 4 show STXM images taken near the C K-edge (288.8 eV), whereas parts b and d of Figure 4 show STXM images of the same areas taken near the Al K-edge (1571.6 eV). The C K-edge STXM images show rod-shaped bacteria (≈ 2 μm long and 0.5 μm wide) as well as submicron-sized dark spots within the bacteria, which are consistent with the polyhydroxybutyrate (PHB) granules observed in *C. crescentus* by TEM.²² These granules are used by the

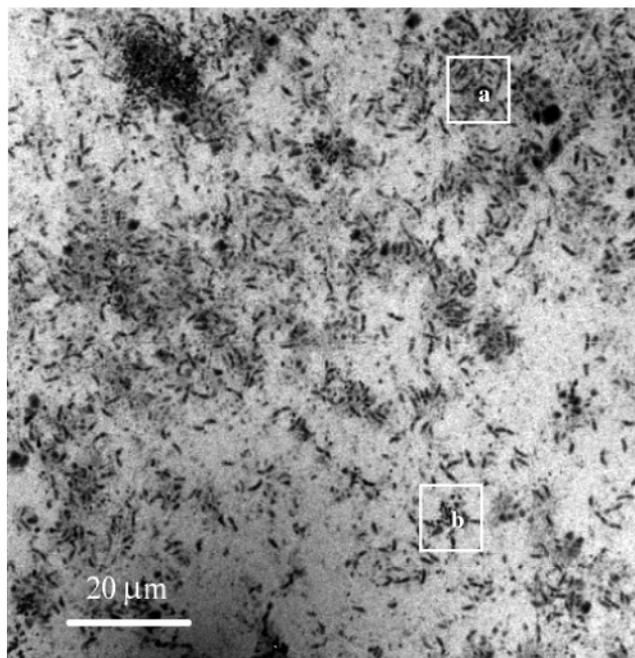


Figure 3. STXM image ($90 \mu\text{m} \times 90 \mu\text{m}$) of a *Caulobacter crescentus*–mineral mixture (corundum and montmorillonite) in an aqueous suspension measured in the vicinity of the C K-edge ($E = 288.8$ eV). The outlined areas labeled a and b are shown at higher magnification at the C and Al K-edges, respectively, in Figure 4.

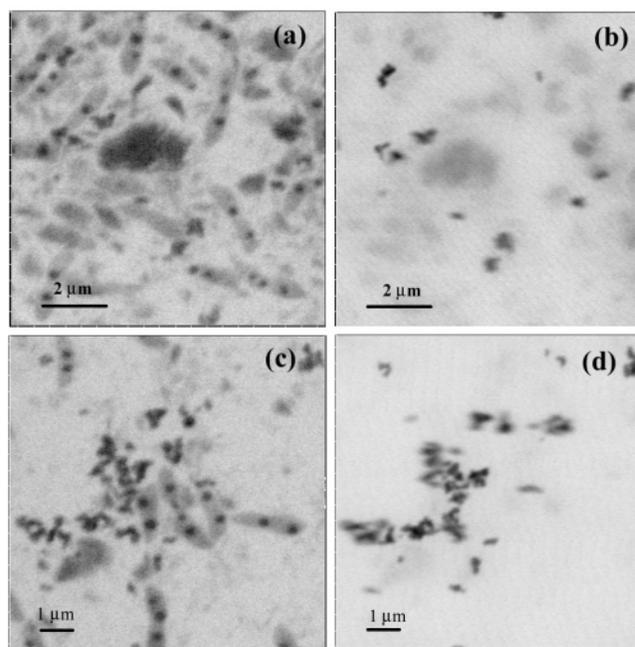


Figure 4. STXM images of a *Caulobacter crescentus*–mineral mixture (corundum and montmorillonite) in an aqueous suspension measured in the vicinity of (a and c) the C K-edge ($E = 288.8$ eV) and (b and d) the Al K-edge ($E = 1571.6$ eV). Parts a and b are higher magnification images from area a in Figure 3, and parts c and d are higher magnification images from area b in Figure 3.

bacterium as carbon and energy reserves. In Al K-edge images (Figure 4b and d), these bacterial components (i.e., cells and dark spots within cells) are not visible, while many dark patches observed outside the bacterial cells in Figure 4a and c are still visible in Figure 4b and d, suggesting that these dark patches are rich in aluminum. In the C K-edge spectra of the bacteria (not shown), the

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feature at 288.8 eV is characteristic of extracellular polysaccharides (EPS) and the peak at 288.2 eV is characteristic of proteins.²⁴ However, there is some overlap of the these peaks, so the images in Figure 4a and c are due to both EPS and proteins. We do not report C K-edge spectra in this study because of our inability to obtain an appropriate I_0 spectrum on a portion of the sample not containing bacteria or EPS.

STXM images at the Al K-edge (see Figure 4b and d) allow the above carbonaceous particles to be distinguished from the Al-containing colloidal components. Furthermore, Al K-edge XANES analyses of the dark and gray areas shown in Figure 4b confirm that the small (<500 nm in diameter) dark clusters in Figure 4b and d are corundum particle clusters, whereas the larger and more diffuse objects (~2 μm in diameter) are montmorillonite particles. It is further observed in the STXM images that the bacterial cells and mineral particles are closely associated, forming relatively large bacteria–mineral flocs. Interestingly, however, the bacterial cell wall surfaces are not in direct contact with the corundum or montmorillonite particle surfaces in the aqueous solutions used in this study (pH 7, minimal growth medium). Because production of EPS and attachment to various surfaces via an adhesive holdfast organelle located at the end of the stalk has previously been observed in *C. crescentus*,^{24,25} we suggest that these adhesive holdfast organelles as well as EPS produced by *C. crescentus* bind the bacteria to the clay and corundum particles. The formation of EPS-mediated flocs is likely to have a significant impact on the physical stability of colloidal particles.²⁶

4. Conclusions

Scanning transmission X-ray microscopy (STXM) on a newly commissioned end station on branch line 11.0.2.2 at the Advanced Light Source has been utilized to characterize mixtures of Al-containing mineral colloids

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in aqueous suspensions. Characterization is based on element- and chemical-state-specific imaging of these suspensions near the Al K-edge at a 50 nm resolution and an analysis of Al K-edge XANES spectral features, which are sensitive to the Al first-neighbor coordination environment as well as to differences in the medium-range (<5 Å) chemical environment of Al. The ability of STXM analysis to provide both element-specific maps and XANES spectra at the K- and L-edges of a variety of important elements in colloidal particle mixtures at a very high spatial resolution in the presence of water results in a unique capability for characterizing colloidal suspensions, including biocolloid–mineral mixtures under hydrous conditions. Additional C K-edge and Al K-edge STXM studies undertaken on a mixture of *Caulobacter crescentus* and Al-containing minerals demonstrated the presence of loosely associated mineral–bacteria flocs and implied the potential role of extracellular polysaccharides in facilitating the formation of these mineral–bacteria aggregates. Further studies on bacteria–mineral interactions and their impact on the molecular-level speciation and colloid-facilitated mobility of pollutants such as arsenic are in progress and will be communicated in future publications.

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